

“ Given the velocity
of change in the
marketplace today,
it is essential that
securities regulation be
under the umbrella
of overarching principles
that inform the interpretation
of the rules. ”

The Consumers Council of Canada

*In a recently released report, The Expert Panel on Securities Regulation has made the following recommendations:
Advancing more proportionate and principles-based regulation.
Specific measures aimed at improving enforcement in Canada.
Addressing existing shortcomings with measures to better serve the needs of individual investors.*

The Expert Panel believes that its recommendations will best be achieved by creating a Single Securities Regulator with clear national accountability - but with a strong decentralized structure that retains local service and regional expertise.

To find out more about the recommendations
made by The Expert Panel on Securities Regulation
please visit www.expertpanel.ca

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“ The failure of the
regulatory regime is due
in part because
the regulatory system
is based upon rules
rather than principles,
and the regulatory system is
based upon the administration
of these rules. ”

Small Investor Protection Association

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